

Heck Capital Advisors, LLC is committed to protecting the privacy and confidentiality of personal data collected or processed during its business operations. This data privacy policy outlines the principles and practices that govern the collection, use, and disclosure of personal data by the company.

## 1. WHY WE COLLECT AND HOW WE USE INFORMATION:

When we evaluate your request for our services, provide investment advice to you and process transactions for your account, you typically provide us with certain necessary personal information for these transactions. We may also use that information to offer you additional services we provide which may meet your investment needs.

## 2. WHAT INFORMATION WE COLLECT

The personal information we collect may include:

- Name and address;
- Social Security or taxpayer identification number;
- Assets;
- Liabilities;
- Age;
- Occupation;
- Income;
- Account balance;
- Investment activity;
- Investment objectives and risk tolerance;
- Accounts at other institutions; and other financial and personal information.

## 3. HOW WE PROTECT INFORMATION

We do not sell your personal information to anyone. We treat information about current and former clients and their accounts in a confidential manner. Our employees may access information and provide it to third parties only when completing a transaction at your request or providing additional services to you. At your request, we may disclose information to attorneys, accountants, securities professionals and others to assist us, or them, in providing services to you.

We may also share information with companies that perform services on our behalf, such as the companies that we hire to perform marketing or administrative services. Companies we may hire to provide support services are not allowed to use your personal information for their own purposes.

We may make additional disclosures as required by law. We also maintain physical, electronic, and procedural safeguards to protect information. Our building has 24 hour surveillance, specialized technology, backup systems, locked drawers for files such as a large file system, privacy doors, and privacy for the meeting rooms. This applies to all employees, contractors, vendors and third parties who collect, use, or process personal data on behalf of the company.

## 4. ACCESS TO AND CORRECTION OF INFORMATION

Generally, upon your written request, we will make available information for your review. Information collected in connection with, or in anticipation of, any claim or legal proceeding will not be made available. If your personal information with us becomes inaccurate, or if you need to make a change to that information, please contact us at the number shown below so we can update our records.

## 5. FURTHER INFORMATION

For additional information regarding our privacy policy, please contact Heck Capital at 715-361-1500 or 877-432-5330.